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PHARMACOGENETICS AND PERSONALISED MEDICINE VERSUS SURGERY OF BENIGN ORGANIC VOICE DISORDERS (CHAPTERS FOR EUROPEAN ACADEMY OF PHONIATRICS MANUAL 2013)

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ABSTRACT

There has been a big focus on surgery of organic voice disorders. We have tried to divide the benign voice disorders in three groups: A group where surgical interference must be done, can be done and is preferably not done.

Causality and provoking factors are discussed from our standpoint today.

We are also trying to focus on upcoming factors for measuring, diagnosis and treatment, among others genetics and pharmacological treatment related to genetics and personalized medicine.

INTRODUCTION

The approach to diagnosis of organic voice disorders is changing, understanding of personalized pharmaco-genetics and tissue engineering makes it possible to reduce enhanced surgery. Another newer approach is **meta-analysis of** randomized controlled trials. Two updated Cochrane reviews showed no effect of treatment of vocal nodules with neither speech therapy nor surgery, and larynges-pharyngeal reflux (LPR) studies were analyzed with too small materials. A third way must be found, **the suspicion being** that benign vocal neoplams mostly are secondary especially to infection, and that genetic Laryngopharyngeal reflux has a much bigger role in provocations of vocal cord disorders than shown before.

METHODS

In the clinic it seems that the <u>complaints of the patients as</u> <u>the scientific basis</u> have to be taken into account with e.g. voice handicap index (VHI). VHI shows the personal situation of the patient. Perception tests (GRBAS) are not evidence based among others because it has been shown that the musicality of the listeners that use the test is varying. Critics are also the case for many routinely used acoustical methods.

No acoustical measures until now have been given as standards. We have shown that it is possible to differentiate between normal arytenoids regions and arytenoid edema in the larynx on high speed films, significantly related to the multi dimensional voice program (MDVP) of sustained tones and reading of a standard text. In another study of Laryngopharyngeal reflux comparing subjective complaints of hoarseness and arytenoid edema we showed that they were highly related.

We have made a stratified overview of normal singer's parameters measuring air flow, jitter, shimmer, reading variability and high speed measures with statistical measures calculated. These standards will be published in the chapters of the European academy of phoniatrics, logbook 2013.

For documentation in our clinic the standards are sufficient and we can use these results routinely.

• Table 1

- The tables shows our normal materials for clinical use in our laboratory of physiological and acoustical measurement (7).
- 18 healthy amateur female singers and 12 healthy amateur male singers between 20 and 40 years of age were analysed in a stratified cohort study. The 18 female and 12 male amateur singers had normal video stroboscopies and high speed films: The mucosa representing normality and no reactions of e.g. infections, allergies and others.
- The arytenoid regions without any oedema or other reactions e.g. related to the swallowing process.
- 0
- Multi-Dimensional-Voice-Program
- The Multi-Dimensional-Voice-Program combined with video stroboscopy (MDVP) by the firms Key Elemetrics and Laryngograph were used :
- A sustained note 'ah' and reading of a standard sentence: -' the blue spot is on the key again', and the story: 'the Northern wind and the Sun', respectively. Jitter and shimmer were measured for the sustained notes. Mean fundamental frequency, frequency- and intensity- variation percent were measured for the sentence.
- For the firm Key Elemetrics also harmonics to noise ratio and degree of voicelessness were measured, and for the firm Laryngograph, also glottal closed phase (Qx%) of the electroglottogram (EGG), and cohesion factor (irregularity)% of the fundamental frequency and the EGG.
- Rutine measures were made of phonation time, maximal and minimal tone ranges.
- 0
- Long term average spectrograms (LTAS)
- LTAS measurements up to 11.000 Hz were made with Laryngograph equipment. Calculations of harmonics were made.
- 0
- were made in a frequency area from 0-11.000 Hz and in 3 sub groups of frequency of 200-800 Hz, 2300-2700 Hz and 9.500-11.000 Hz. (SAS institute program, JMP 7 used in the clinic).

- Phonetograms
- Phonetograms included calculations of the lowest note, the highest note, maximal dynamics and calculation of area of voicing in semitones times decibels (dB(A)).
- 0
- Airflow measurements
- Airflow measurements were made for sustained notes and consonant/vowels (Aerophone and Aerophone II by F J Electronics and Key Elemetrics) lung volume, peak flow, mean flow rate, phonation times, as well as the parameters of adduction/abduction rates pr second as an indication of the vocal fold movements pr second, and target flow rate parameters of the mid 50% of the sampled values measured during the loudest 6dB.
- 0
- High speed digital imaging (HSDI)
- HSDI based on the apparatuses by the firm Wolf, Germany, included qualitative measurements of kymography, acoustical curve and FFT up to 2000Hz. Quantitatively, the opening phase between the vocal cords in front, middle and rear parts and area opening was made based on segmentation calculation in % between the vocal cords. Supplementary EGG were made on line, but till now no on line quantitative measures can be presented of comparison of the EGG and the acoustical curves.
- 0
- Standard deviations
- Standard deviations and ranges were made. Multivariate correlations by pair were made and significance probabilities more than 0,5 were included. One way analysis of power (dB) was made by gender with summery of fit. A model for spectrograms of polynomial fit degrees = 5 was used for the variety fit of power (dB) by frequency (Hz) for sustained notes. For spectrograms of reading of a text the polynomial fit degree = 6 was used for bit variety fit of power (dB) by frequency (Hz). The statistics were made in a frequency area from 0-11.000 Hz and in 3 sub groups of frequency of 200-800 Hz, 2300-2700 Hz and 9.500-11.000 Hz. (SAS institute program, JMP 7 used in the clinic).

Airflow

Standard set-up for clinical use in ear, nose, throat clinics

The mean flow rate, vital capacity, peak flow and phonation times in 12 normal Danish males and 18 females between 20-40 years of age, on a sustained tone. The average mean flow rate showed a difference between males (204 ml pr. second) and females (178 ml pr. second).

		Ra	ange	CD	
	Average	Lowest	Highest	S.D.	
MEAN F	FLOW RAT	E ml pr se	cond		
Male	0,204	0,031	0,527		
Female	0,178	0,106	0,318		
VITAL (CAPACITY			(
Male	5,138	3,460	8,876		
Female	3,723	2,615	4,219		
PEAKFI	OW litres	pr second			
Male	10,993	8,880	19,920		
Female	7,366	5,560	8,840		
Phonatio	n time (in A	Aerophone)		
Male	15,0	5,6	23,4	4,6	
Female	18,0	9,0	26,8	4,9	

Jitter and Shimmer

on sustained notes for 4 seconds, by MDVP and Laryngograph Ltd. The results of the two firms were correlated.

		Range	
	Average	Lowest	Highest
Average	Fx (Sust.not	e)	
Male	140,048	116,040	169,400
Female	272,371	206,620	308,600
Jitter (Si	ust.note)		
Male	0,320	0,200	1,080
Female	0,541	0,140	2,080
Shimmer	r (Sust.note)		
Male	7,116	3,090	17,540
Female	8,227	2,010	18,690

Frequency and intensity variation during reading

Frequency and intensity variation in percent during reading of a standard text, the Northern wind and the Sun.

	Avianaga	Ra	nge
	Average	Lowest	Highest
Average	Fx (Speech)	
Male	127,898	106,560	171,050
Female	227,405	198,260	262,700
Irregula	rity of frequ	ienzy (Hz,S	Speech)
Male	5,469	3,040	6,770
Female	5,448	2,620	7,550
Irregula	rity of inten	sity (dB,Sp	eech)
Male	18,047	13,950	20,900
Female	13,389	11,460	17,030

No significant difference was found for fundamental frequency, frequency and intensity variation in reading and for a sustained note for the firms Key Elemetric and Laryngograph. The use of the term jitter and shimmer in reading of a standard text should instead in the future be replaced by frequency and intensity variation during reading, in percent.

Harmonics to noise and degree of voicelessness

Measures were made with the MDVP program, ranges and standard deviations.

	Avanaga	R	Range	
	Average	Lowest	Highest	S.D .
Harmon	ics to noise	ratio		1.1
Male	0,40	0,23	0,91	0,18
Female	0,37	0,21	0,860	0,220
Degree o	of voiceless	ness		
Male	56,0	22,640	91,340	13,600
Female	66,0	25,260	86,900	18,100
Table 4				

Laryngographic (EEG) measured closed phases (Qx%)

of the vocal cords on sustained tones /ah/ in on a comfortable tone in the speaking area for 4 seconds and during reading of the standard text.

A		Range	
	Average	Lowest	Highest
Average	Qx (Sust.	note)	
Male	47,944	39,9	63,33
Female	46,032	37,65	60,94
Average	Qx (Speec	h)	
Male	51,248	46,07	58,55
Female	49,239	39,99	57,46
Table 5			

Cohesion factors of irregularity percents

With the Laryngograph Ltd. set-up, a calculation is made for a sustained tone /ah/ on a comfortable speaking level and during the reading of a standard text, and for fundamental frequency (Fx irregularity%) and EEG closed quotient percents (Qx irregularity%).

A	Ra	nge
Average	Lowest	Highest
factor % l	Fx (Sust. N	lote)
0,788	0,220	4,060
0,415	0,090	1,000
factor %	Fx (Speech)
19,518	6,410	71,490
8,525	7,360	14,890
factor %	Qx (Sust. N	Note)
7,987	0,400	31,030
25,218	0,460	60,730
factor %	Qx (Speecl	n)
40,209	28,350	82,010
33,211	3,790	63,770
	0,788 0,415 factor % 19,518 8,525 factor % 7,987 25,218 factor % 40,209	Average Lowest factor % Fx (Sust. N 0,788 0,220 0,415 0,090 factor % Fx (Speech 19,518 6,410 8,525 7,360 factor % Qx (Sust. N 7,987 0,400 25,218 0,460 40,209 28,350

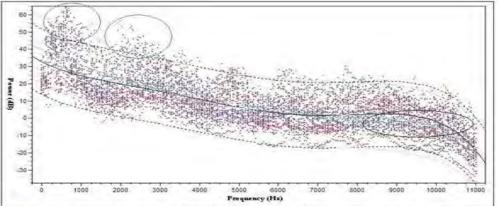
New set- up for medical, clinical use includes

Long Time Average Spectrograms (LTAS) sustained tones

The LTAS results had significant different results of harmonics between males and females. The differences were found specifically at the areas 200-800 Hz, 2300-2700 and 9500-11000 Hz.

Sustained tones for 4 seconds of both males and females are presented in polynomial fit degree=5 areas are marked from 200-800, 2300-2700 and also 9500-11000.

With one way analysis of power by gender, a difference was found between resonance power, better for males at the area 200-800 Hz (40 versus 34 dB). At the area between 2300-2700 (23 versus 20 dB) the resonance power was also better for males.



Statistics showing LTAS calculations of gender differences between 9500 and 11000 Hz At 9500-11000 Hz there was a slightly better result of intensity for females. Measurements from 9500-11000 Hz were also based on a polynomial fit degree=5 of sustained tones

> 10000 11000

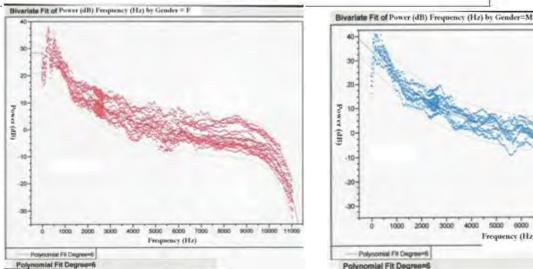
10000

4000

5000 8000

Frequency (Hz)

7000 8000



Phonetograms

The calculated results were presented for phonetograms of the lowest tones, 87 Hz for males and 160 for females respectively, the highest tones, 716 and 1084 Hz, the maximal dynamic area 32 dB and 37 dB and the total area in semitones times decibels 714 for males and 822 for females. Ranges were also presented as well as standard deviations.

0	A	Ra	Range		
	Average	Lowest	Highest	S.D	
Lowest to	one				
Male	87	73,4	98	31	
Female	160	131	220	33	
Highest t	one				
Male	716	622	880	154,8	
Female	1084	1568	1245	204,3	
Maximal	dynamic ra	inge			
Male	32	24	57	8,4	
Female	37	20	41	9,01	
Total are	ea in semito	nes times	decibels		
Male	714	406	1054	202	
Female	822	432	1047	214	

Other airflow parameters

Some of the important airflow parameters based on the Aerophone II by FJ Electronics included abduction/adduction rate of the vocal cords in movements per second measured during voicing of a?a?a?a? as fast as possible. Another new measure is the target air flow rate: the average airflow rate of the mid 50% of the sampled flow values, measured during the loudest 6 db of the SPL curve, and real air pressure in cm water. The target flow rate was 0.24 in males and 0.17 ml per second in females. The adduction / abduction rate in males 8, 41 and in females 7.59, the real air pressure in males 8, 30 and in females 7, 83 cm H2O. Ranges and standard deviations were given.

	Average	Range		S.D
		Highest	Lowest	
Adducti	on –Abduc	tion rate		
Male	8,41	11,87	5,64	1,70
Female	7,59	10,37	5,1	1,56
Target f	low rate (l/	sec)		
Male	0,24	0,45	0,17	0,117
Female	0,17	0,21	0,08	0,05
Target S	SPL (dB)			10.20
Male	82,00	89,26	77,28	3,80
Female	79,43	87,12	70,86	6,36
Real air	pressure (cmH2O)	5 TO 4 - 1	1.101
Male	8,30	12,22	5,15	2,23
Female	7,83	9,96	4,034	1,64
Table 8				

High speed films

The open quotients of the high-speed films were calculated in % on segmentation, in front, centre and rear as well as the area of the general open phase, using the equipment and software from the firm Wolf. In front the open quotients were 0, 45 and 0, 48 in males and females respectively, in the centre 0, 51 and 0, 58 and rear 0, 59 and 0, 48. The open areas between the vocal cords were in males 0, 60 and in females 0, 68. Ranges and standard deviations were given.

	A	Range		C D
1	Average	Lowest	Highest	S.D.
Open que	otient front			
Male	0,45	0,14	0,92	0,32
Female	0,48	0,37	1,60	0,49
Open que	otient centre			
Male	0,51	0,09	1,0	0,27
Female	0,58	0,12	1,0	0,29
Open que	otient rear			
Male	0,59	0,07	0,99	0,32
Female	0,48	0,00	1,00	0,31
Area bet	ween vocal o	ords		
Male	0,60	0,04	1,0	0,43
Female	0,68	0,13	1,0	0,30

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Statistics related to the Multivariate Analysis with SAS JMP statistical program

A multivariate statistics made with SAS JMP statistical calculation showed many correlations between the new measures. The clinical value of the results is that it documents the voicing as a wind instrument, to be used in the clinical setting with patients with mucosal and neurological disorders, where the airflow regulation is compromised. 40 significant measures were calculated in this study of normal clients.

High speed digital imaging (HSDI) films e.g.:

The multivariate analysis showed in this normal material

- r .8130, and p 0,0001 for HS open quotient front versus HS open quotient centre.,
- r .6748, and p 0,0021 for HS open quotient front versus HS area between the vocal cords,
- r $\,$.7574, and p 0,0003 for HS open quotient centre versus HS area between the vocal cords. A correlation of

 $r_{..4567,and}$ p 0,0568 was found between the HS area between the vocal cord and the closed phase on the electroglottogram (EGG, Qx%) during reading of a standard text.

Phonetograms e.g.:

r~ -.6524, and p <0,0001 for the maximal dynamic range (dB) versus the phonetograms area in semitones times decibels

- r~ -.6123, and p 0,0003 for the lowest tone (Hz) versus $\,$ peak flow rate in litres/sec.
- r ~ -.5800, and p 0,0008 for the lowest tone (Hz) versus the vital capacity in litres.
- r -.5743, and p 0,0017 for the highest frequency (Hz) versus the target flow rate in litres/sec.
- $r_{\rm -}.3677,$ and p 0,0456 for the dynamic range in dB of the phonetograms versus the maximum phonation time in sec.

Aerophone II e.g.:

- r .8461, and p < 0,0001 for peak flow (litre/seconds) versus vital capacity (litre)
- r .5065, and p 0,0060 for max phonation (seconds) time versus mean air flow rate (litre/sec)
- r .4594, and p 0,0107 for ad/abduction rate (cps) versus phonation quotient (litre/sec)
- r $\,$.5027, and p 0,0123 for target flow rate (litre/second) versus target resistance
- r .-3675, and p 0,0543 for ad/abduction rate (cps) versus mean flow rate (litre/sec)

We recommend that **measures are made for each country, since the languages in the European Union are not comparable for pathology**, one of the results of the discussion in European research group COST 2103 of advanced voice assessment. No evidence based randomized controlled studies (RCT) were found in benign laryngology.

With the understanding of pharmaco-genetics and voice related personalized medicine based on our Cochrane review, selected valid averages of treatment of patients with organic benign voice disorders cannot be given.

Evidence was not found for neither speech therapy nor surgery for vocal nodules. **This will probably demand multi-clinical approaches** – in the various languages and thereafter over the border of languages. Grouping of clinical cases are also difficult, organic disorders can be provoked by infections, allergy, reflux or environment with secondary bad voice habits. Organic voice disorders can be age or hormone related or part of a genetic syndrome.

- Genetics and immunology are important factors for the Medicine in the XXI Century. Practicing medicine before the appearance of the symptoms is the great challenge for the generations now and ahead. The human genome sequence has been completed and is under investigation. The integration with genetics holds a great potential value for a better understanding of the complex relationship between static genetic predisposition and dynamic environmental factors and its consequences for health maintenance, disease development and personalized treatment.
- Although some progress has been made in complex diseases, such as metabolic syndrome, cardiovascular-cancer diseases and others, genetics of voice related disorders remain quite unknown for most of voice specialists and scientists. Technological advancements have been profuse but must be considered as the foundation of current and future progress on voice.
- Modern sequencing platforms, microarrays, high-through put detection technologies, gene transcript profiling, quantitative multiplexed proteomics and nutrients/metabolite analysis should be the key tools achieving the developments in personalized treatment and predicting the rehabilitative process in voice. Establishing and managing databases are further tools to retrieve, visualize, validate, interpret and cross-correlate this data. Examples of (few or single) cases are given of the literature, a great challenge is ahead for evidence based research.

High speed films can be systematically grouped for diagnoses, although causality can be different and the treatment too.

High speed films with e.g. 4000 pictures per second being used for e.g. two second movement evaluation and video stroboscopy averaged for around 25 pictures per second for longer sequences of voicing, **the old differentiation e.g. cysts/ nodules/ polyps <u>being</u> <u>questioned**</u>.

There is no doubt that pharmaco-genetic understanding makes surgery less obvious and that tissue engineering and knowledge of cell regulation and fibroblast stimulation by activity of voice document the need for training and lifestyle education leaving less organic disorders for surgery of benign voice disorders. For potential malignant disorders and papilloma diagnosis with narrow band analysis approaches of medical treatment and understanding of virus as well as RNA engineering is of interest.

Updated randomized controlled studies (RCT) are too seldom, related to the well-known effect on benign swellings of the vocal cords treated with anti-histamines (e.g. fexofenadine up to 180 mg 3x a day) and cortisone locally on the closed vocal cords up to 400 mg 3x a day).

An impressive effect has been showing of that medication on patients with dystonia – the types of dystonia possibly secondary syndromes, disappearing with treatment with upper airways mucosal defects.

ASPECTS OF PATHOLOGY

Therefore we demonstrate the organic disorders (Fig. 1) as potentially non-surgical casesanalyzed genetically since no evidence has been found for surgery: secondary to lactose and gluten intolerance, low mannose binding lectin, allergies of inhalation, food, contact allergens, environmental stress especially of noise and pollution, reflux with dietary provocation or helicobacter provocation etc. – and cases where surgery is necessary (Fig. 2).

Figure 1

	8	î	i
	Nodules	Polyps	vascular lesions
Prevalence in populations	25% of hoarse patients	Not given	3,5% of population
Location	On the junction of the anterior $1/3$ and the posterior $2/3$	Mid cord location is characteristic	highly variable
Morphology	A mass of tissue that grows on vocal cords.	Smooth or round or have lobes	Prominent or enlarged vessels that exist on the vocal cord that cause hemorrhage of the lamina propia.
etiology	Arise from phonatory trauma and vocal misuse	Phonotrauma, physical stress of vocal cords	Vocal use, abuse and trauma
Sessile, Pedunculated	Sessile	Sessile or pedunculated	-
Edematous, vascular, fibrotic, myxoid	Edematous or fibrotic	Thin-walled dilated vessels and fibrinous exudates in edematous mucosa	Vascular

Reinkes edema	Granuloma	Cysts	Sulcus vocalis
Not given, mostly after the age of 40	Not given, related to underlying cause	Not known, but seems to recurrent among professional voice users attending throat specialists.	The incidence of sulcus vocalis is impossible to determine due to variation in presentation and diagnosis
Bi- or unilateral swelling of the vocal cords.	Located on the posterior third of the vocal cord, which corresponds to the vocal process of the arytenoid cartilage. Contact granulomas may occur unilaterally or bilaterally.	Located under the lining of the vocal cords, mostly in the middle of the vocal cords	A furrow or a trench in the vocal chord which can vary in depth
The Reinke's space between the lamina propria and vocal ligament is filled with loose connective tissue sheets	Mostly bilateral edemas with a granulated surface	Usually a spherical white or translucent mass located underneath the mucosa of the vocal cord	A thinning or absence of a special layer of tissue, called the superficial lamina propria, creating a "cleft".
Smoking, reflux or overuse/abuse of vocal cords	Factors that contribute to the development of granulomas include intubation, smoking, allergy, infections, postnasal drip, and chronic throat clearing.	Mucus retention cysts occur when a glandular duct becomes blocked and is unable to secrete. Epidermoid cysts result from developmental problems before birth or an injury to the mucous membrane.	Some physicians think that it is a developmental disorder, other that it is a long term result of vocal cord hemorrhage or cysts.
In principal, sessile	Mostly sessile	Sessile	Involves the whole vocal cord
myxoid	edematous	Mostly myxoid	Can be fibrotic

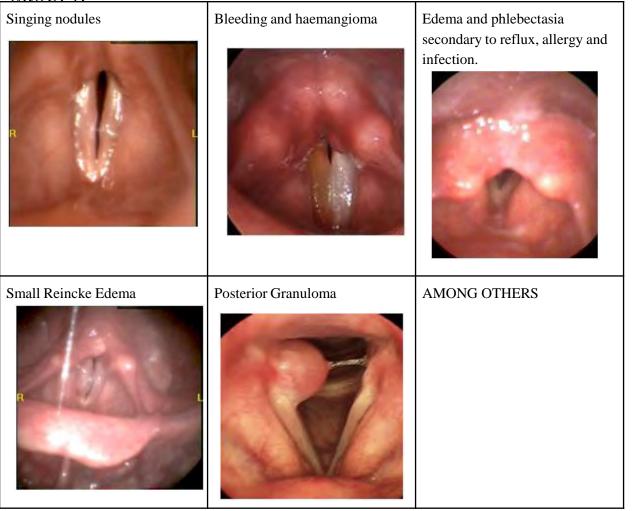
Figure 2

Group A (Preferably no operation): Diagnostic battery of infections also helicobactor and others, allergy and environment, pharmacogenetic battery including lactose and gluten intolerance and mannose binding lectin), training and education.

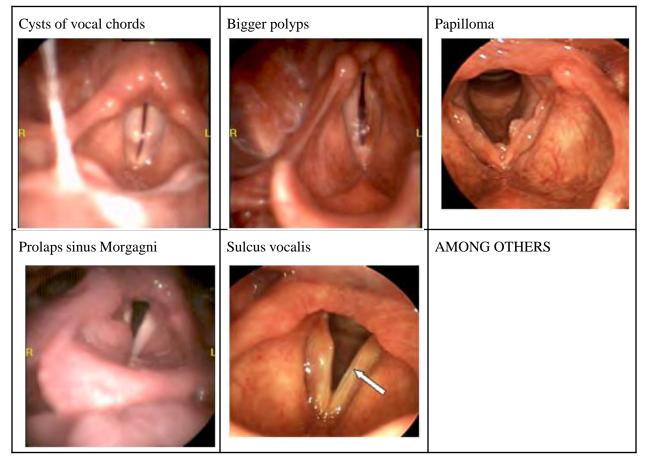
 $Group \; B$ (operation based on severity): Diagnostic battery, pharmacogenetic battery, training and education.

 $Group \ C$ (treated with operation): Diagnostic battery, pharmacogenetic battery, training and education.

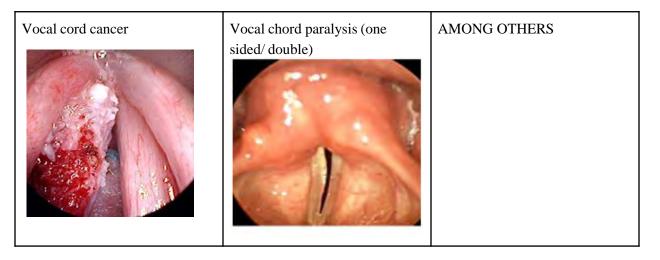
GROUP A



GROUP B



GROUP C



None of the treatment methods are evidence based with randomized control trials till now. It is a necessity that meta-analysis in this area can be made on RCTs for specific diagnoses.

Methodological studies should be used for pharmacogenetic diagnostics and treatment as well as surgical treatment.

Base lines must include pharmaco-genetic/lifestyle aspects as well as power calculations and description of outcomes.

The litterature of surgery of the vocal cords is as big as the pharmaco-genetic voice related personal treatment is small, and without statistical evidence usable for meta analysis at all.

ASPECTS OF TREATMENT OF ORGANIC VOICE DISORDERS

The pharmacogenetic approach includes systematic diagnosis of several hereditary genetic aspects and provocations often found (genetic gluten and lactose intolerance, mannose binding lectin insufficiency, inhalation and food allergens, environmental and infection factors (virus and bacteria) etc.)

Lifestyle change training and education needs evidence, based on knowledge of provocation and correct voicing. The surgical approach is made with indirect or direct setup and equipment based on the surgeons' patient material. Well known approaches with indirect and direct cold instruments have shown success in operations on the vocal cords, based on further development of Kleinsasser instruments.

Development of newer laser instruments is promising as well as robots. The demand is an experienced surgeon, respecting the mucosal wave and the vocal muscle function. Procedures can be made with local or universal anesthesia.

Routine treatments:

Antibiotics based on swabs in tight contact with micro biologists, anti-inflammatory treatments with antihistamines, local adrenalin, local cortisone in the upper airways and pharmacological bacteria and virus treatment etc. to reestablish the mucosal barrier of the upper airways.

Lifestyle treatment with consciousness of environmental provocations and active response on provocations.

TRAINING

Routine treatments:

There is a large literature on training of voice. In our Cochrane review (1) of vocal nodules updated 2012, no evidence is found with RCTs on neither surgical operation nor speech training. In that review acoustical and physiological measures were included without any evidence of treatment effect in a model of randomized controlled trials. This does not mean that acoustical and physiological values should not be the basis for training.

Models of randomized controlled trials should be planned with base lines, power calculation and outcome. There is no doubt that consciousness of the coordination of the voice related parts of the body is essential for the human wind instrument for patients with organic voice disorders also prophylactically against relapse.

Personal coaches (logopeds, speech therapists, singing teachers, orthophonists) are still very necessary. Basic software for education can be downloaded made by several experts (e.g. Kathrine Verdolini to be downloaded via Youtube).

CONCLUSION

Benign organic voice disorders are related to function of the vocal chords and nearby organ function as well as genes and epi-genes (provocational factors).

Further understanding is necessary of the effect of e.g. gene repair and local steroids repair on the vocal cords directly, the effect of the antihistamine fexofenadine, other antihistamines and local adrenalin application derivate in the upper airways for repair and optimization of the mucosal barrier of the vocal cords and the larynx. Multicenter studies of randomized controlled trials are necessary for better evidence of treatment.

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